



How to Donate Stocks — to ACE —

The following process is for the donation of stocks and bonds only.

DTC Free Delivery

Contact the firm that has your brokerage account. That firm will have you submit instructions to transfer the shares to the ACE account. The included LOA document may be used to request transfer of shares if your firm does not require you to use one of their own forms.

The information you will need:

Clearing Firm Number: 0443
Clearing Firm Name: Pershing LLC
Pershing Acct. Number: 5MC 181440 – American Caribbean Experience

American-Caribbean Experience is a registered 501c3 non-profit organization and all donations including the donation of stocks and/or bonds is 100% tax deductible. All donors will receive a year-end giving statement as verification of all monetary and stock/bond donations.

For more information, please contact our Stateside Office at (877) 500-5768 or Office@acexperience.org.

American-Caribbean Experience

P.O. Box 566263 | Atlanta, GA 31156 | acexperience.org | (877) 500-5768 | office@acexperience.org



DTC FREE RECEIVE

Letter of Authorization (LOA) gifting (transfer) stock and/or bond from another clearing firm to Pershing

For Filing Purposes Only :

5MC & 5UW = Scan & upload to E-Docs Pershing Description: DTC Free Receive

14B, 14C, OBK = Scan & upload to E-Docs Advisory to Description: 14 – DTC Free Receive

If not scanning and uploading fax to: 561-472-2096

- **Pershing does NOT process DTC Free Receive** = To process this transfer, this original completed and signed Letter of Authorization should be given to the delivering (holding) clearinghouse Margin Journal Processor
- The account held at the other clearing firm does not have to have the same title/registration you are transferring to, as long as the client at the delivery firm signs this LOA
- A Pershing "Account Transfer" form will need to be completed and signed to transfer cash or mutual funds held at another clearing firm to Pershing because these positions **cannot** be transferred via DTC Free Receive

Section I – Rep and Client Information

Registered Representative: _____ **RR Telephone Number:** _____

Section II – Delivering Firm Clearing Firm Information

Name of delivery clearing firm: _____

Delivery Firm DTC Clearing #: (four digits) _____

Delivery Account Holder Client name(s): _____

Client account number at delivering clearinghouse: _____

Section II – I would like to gift or transfer the following:

| Amount of shares(s) | Name of stock or bond Company | Symbol and CUSIP# |
|---------------------|-------------------------------|-------------------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

Section III – To the following:

Pershing LLC Clearingfirm

Pershing DTC clearing#: 0443

Pershing Account Number: _____ - _____

Section IV – Client Signature(s)

Signature of owner

Date

Signature of Joint Owner (if any) Date

